

|  |                                      |                               |
|--|--------------------------------------|-------------------------------|
| Form PTO-1449<br><br>INFORMATION DISCLOSURE CITATION<br>IN AN APPLICATION<br><br>(Use several sheets if necessary) | Docket Number 435712003521           | Application Number 10/079,605 |
|  | Applicant<br><br>Thomas KEAST et al. |                               |
|  | Filing Date February 21, 2002        | Group Art Unit 3739           |
|  | Mailing Date April 23, 2002          |                               |

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name                      | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|---------------------------|-------|----------|----------------------------|
| AR                | 1.       | 08/23/1938 | 2,127,903    | Bowen                     |       |          |                            |
| AR                | 2.       | 12/18/1973 | 3,779,234    | Eggleton et al.           |       |          |                            |
| AR                | 3.       | 03/12/1985 | 4,503,569    | Dotter                    |       |          |                            |
| AR                | 4.       | 08/13/1995 | 4,534,761    | Raible                    |       |          |                            |
| AR                | 5.       | 09/03/1985 | 4,538,618    | Rosenberg et al.          |       |          |                            |
| AR                | 6.       | 04/15/1986 | 4,582,067    | Silverstein et al.        |       |          |                            |
| AR                | 7.       | 04/22/1986 | 4,583,969    | Mortensen                 |       |          |                            |
| AR                | 8.       | 08/18/1987 | 4,687,482    | Hanson                    |       |          |                            |
| AR                | 9.       | 06/28/1988 | 4,753,236    | Healey                    |       |          |                            |
| AR                | 10.      | 07/19/1988 | 4,757,821    | Snyder                    |       |          |                            |
| AR                | 11.      | 07/19/1988 | 4,757,822    | Di Giulimaria et al.      |       |          |                            |
| AR                | 12.      | 09/06/1988 | 4,769,031    | McGough                   |       |          |                            |
| AR                | 13.      | 09/13/1988 | 4,770,185    | Silverstein et al.        |       |          |                            |
| AR                | 14.      | 09/20/1988 | 4,771,788    | Millar                    |       |          |                            |
| AR                | 15.      | 09/27/1988 | 4,773,413    | Hussein et al.            |       |          |                            |
| AR                | 16.      | 11/15/1988 | 4,785,402    | Matsuo et al.             |       |          |                            |
| AR                | 17.      | 01/03/1989 | 4,795,465    | Marten                    |       |          |                            |
| AR                | 18.      | 02/28/1989 | 4,807,634    | Enjoji et al.             |       |          |                            |
| AR                | 19.      | 05/30/1989 | 4,834,102    | Schwarzschild et al.      |       |          |                            |
| AR                | 20.      | 12/19/1989 | 4,887,606    | Yock et al.               |       |          |                            |
| AR                | 21.      | 02/13/1990 | 4,899,757    | Pope, Jr. et al.          |       |          |                            |
| AR                | 22.      | 04/17/1990 | 4,917,097    | Proudian, deceased et al. |       |          |                            |
| AR                | 23.      | 09/18/1990 | 4,957,508    | Kaneko et al.             |       |          |                            |
| AR                | 24.      | 12/18/1990 | 4,977,898    | Schwarzschild et al.      |       |          |                            |
| AR                | 25.      | 03/26/1991 | 5,002,058    | Martinelli                |       |          |                            |
| AR                | 26.      | 07/09/1991 | 5,030,201    | Palestrant                |       |          |                            |

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Applicant

Thomas KEAST et al.

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Mailing Date April 23, 2002

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| AR | 27. | 10/08/1991 | 5,054,483 | Marten et al.             |  |  |  |
| AR | 28. | 10/29/1991 | 5,061,275 | Wallstén et al.           |  |  |  |
| AR | 29. | 11/12/1991 | 5,064,435 | Porter                    |  |  |  |
| AR | 30. | 01/21/1992 | 5,081,993 | Kitney et al.             |  |  |  |
| AR | 31. | 04/21/1992 | 5,105,816 | Shimura et al.            |  |  |  |
| AR | 32. | 04/21/1992 | 5,105,817 | Uchibori et al.           |  |  |  |
| AR | 33. | 07/07/1992 | 5,127,917 | Niederhauser et al.       |  |  |  |
| AR | 34. | 09/22/1992 | 5,148,809 | Biegeleisen-Knight et al. |  |  |  |
| AR | 35. | 12/15/1992 | 5,170,793 | Takano et al.             |  |  |  |
| AR | 36. | 04/13/1993 | 5,201,316 | Pomeranz et al.           |  |  |  |
| AR | 37. | 08/24/1993 | 5,238,027 | Lee                       |  |  |  |
| AR | 38. | 11/02/1993 | 5,257,990 | Nash                      |  |  |  |
| AR | 39. | 11/16/1993 | 5,261,409 | Dardel                    |  |  |  |
| AR | 40. | 01/04/1994 | 5,275,166 | Vaitekunas et al.         |  |  |  |
| AR | 41. | 04/05/1994 | 5,299,578 | Rotteveel et al.          |  |  |  |
| AR | 42. | 05/10/1994 | 5,309,915 | Ember                     |  |  |  |
| AR | 43. | 05/24/1994 | 5,313,950 | Ishikawa et al.           |  |  |  |
| AR | 44. | 06/14/1994 | 5,320,106 | Tanaka                    |  |  |  |
| AR | 45. | 07/19/1994 | 5,330,500 | Song                      |  |  |  |
| AR | 46. | 08/16/1994 | 5,339,289 | Erickson                  |  |  |  |
| AR | 47. | 09/06/1994 | 5,344,420 | Hilal et al.              |  |  |  |
| AR | 48. | 10/04/1994 | 5,351,693 | Taimisto et al.           |  |  |  |
| AR | 49. | 11/15/1994 | 5,363,853 | Lieber et al.             |  |  |  |
| AR | 50. | 11/29/1994 | 5,368,035 | Hamm et al.               |  |  |  |
| AR | 51. | 12/27/1994 | 5,375,602 | Lancee et al.             |  |  |  |
| AR | 52. | 01/17/1995 | 5,381,795 | Nordgren et al.           |  |  |  |
| AR | 53. | 01/24/1995 | 5,383,460 | Jang et al.               |  |  |  |
| AR | 54. | 01/31/1995 | 5,385,148 | Lesh et al.               |  |  |  |
| AR | 55. | 04/04/1995 | 5,402,792 | Kimura                    |  |  |  |
| AR | 56. | 05/09/1995 | 5,413,601 | Keshelava                 |  |  |  |
| AR | 57. | 06/20/1995 | 5,425,739 | Jessen                    |  |  |  |

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*Caron Perre*

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| AR | 58. | 06/27/1995 | 5,427,107 | Milo et al.          |  |  |  |
| AR | 59. | 07/25/1995 | 5,435,314 | Dias                 |  |  |  |
| AR | 60. | 10/03/1995 | 5,454,373 | Koger et al.         |  |  |  |
| AR | 61. | 10/10/1995 | 5,456,258 | Kondo et al.         |  |  |  |
| AR | 62. | 10/17/1995 | 5,458,120 | Lorraine             |  |  |  |
| AR | 63. | 11/07/1995 | 5,464,016 | Nicholas et al.      |  |  |  |
| AR | 64. | 11/14/1995 | 5,465,726 | Dickinson et al.     |  |  |  |
| AR | 65. | 11/14/1995 | 5,466,242 | Mori                 |  |  |  |
| AR | 66. | 12/12/1995 | 5,474,075 | Goldberg et al.      |  |  |  |
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| AR | 68. | 04/09/1996 | 5,505,088 | Chandraratna et al.  |  |  |  |
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| AR | 70. | 06/18/1996 | 5,527,324 | Krantz et al.        |  |  |  |
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| AR | 75. | 11/05/1996 | 5,571,180 | Blom                 |  |  |  |
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| AR | 77. | 03/04/1997 | 5,607,444 | Lam                  |  |  |  |
| AR | 78. | 04/01/1997 | 5,615,679 | Ri et al.            |  |  |  |
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| AR | 81. | 08/05/1997 | 5,653,746 | Schmitt              |  |  |  |
| AR | 82. | 08/19/1997 | 5,658,280 | Issa                 |  |  |  |
| AR | 83. | 09/30/1997 | 5,672,172 | Zupkas               |  |  |  |
| AR | 84. | 10/07/1997 | 5,674,298 | Levy et al.          |  |  |  |
| AR | 85. | 10/21/1997 | 5,678,555 | O'Connell            |  |  |  |
| AR | 86. | 01/06/1998 | 5,704,361 | Seward et al.        |  |  |  |
| AR | 87. | 02/03/1998 | 5,713,949 | Jayaraman            |  |  |  |
| AR | 88. | 02/10/1998 | 5,716,393 | Lindenberg et al.    |  |  |  |

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| AR | 89.  | 04/21/1998 | 5,741,333 | Frid               |  |  |  |
| AR | 90.  | 05/19/1998 | 5,752,518 | McGee et al.       |  |  |  |
| AR | 91.  | 05/26/1998 | 5,755,769 | Richard et al.     |  |  |  |
| AR | 92.  | 06/02/1998 | 5,759,769 | Sia et al.         |  |  |  |
| AR | 93.  | 08/18/1998 | 5,795,325 | Valley et al.      |  |  |  |
| AR | 94.  | 08/25/1998 | 5,797,920 | Kim                |  |  |  |
| AR | 95.  | 09/22/1998 | 5,810,008 | Dekel et al.       |  |  |  |
| AR | 96.  | 09/22/1998 | 5,810,836 | Hussein et al.     |  |  |  |
| AR | 97.  | 11/03/1998 | 5,830,222 | Makower            |  |  |  |
| AR | 98.  | 11/24/1998 | 5,840,431 | Kall               |  |  |  |
| AR | 99.  | 12/08/1998 | 5,846,205 | Curley et al.      |  |  |  |
| AR | 100. | 12/15/1998 | 5,849,037 | Frid               |  |  |  |
| AR | 101. | 03/02/1999 | 5,876,345 | Eaton et al.       |  |  |  |
| AR | 102. | 03/02/1999 | 5,876,434 | Flomenblit et al.  |  |  |  |
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| AR | 104. | 07/13/1999 | 5,922,019 | Hankh et al.       |  |  |  |
| AR | 105. | 08/17/1999 | 5,938,697 | Killion et al.     |  |  |  |
| AR | 106. | 09/14/1999 | 5,951,567 | Javier, Jr. et al. |  |  |  |
| AR | 107. | 09/28/1999 | 5,957,849 | Munro              |  |  |  |
| AR | 108. | 10/19/1999 | 5,967,990 | Thierman et al.    |  |  |  |
| AR | 109. | 10/19/1999 | 5,968,053 | Revelas            |  |  |  |
| AR | 110. | 10/19/1999 | 5,968,070 | Bley et al.        |  |  |  |
| AR | 111. | 11/16/1999 | 5,984,871 | TenHoff et al.     |  |  |  |
| AR | 112. | 11/30/1999 | 5,993,484 | Shmulewitz         |  |  |  |
| AR | 113. | 12/21/1999 | 6,004,273 | Sakamoto et al.    |  |  |  |
| AR | 114. | 12/21/1999 | 6,004,319 | Gobel et al.       |  |  |  |
| AR | 115. | 12/28/1999 | 6,007,544 | Kim                |  |  |  |
| AR | 116. | 12/28/1999 | 6,007,574 | Pulnev et al.      |  |  |  |
| AR | 117. | 01/04/2000 | 6,011,995 | Guglielmi et al.   |  |  |  |
| AR | 118. | 01/11/2000 | 6,013,033 | Berger et al.      |  |  |  |
| AR | 119. | 01/18/2000 | 6,015,405 | Schwartz et al.    |  |  |  |

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|   |      |            |              | Filing Date February 21, 2002    |  | Group Art Unit 3739           |  |
|   |      |            |              | Mailing Date April 23, 2002      |  |                               |  |
| AL  | 120. | 02/01/2000 | 6,019,787    | Richard et al.                   |  |                               |  |
| AR  | 121. | 02/15/2000 | 6,024,703    | Zanelli et al.                   |  |                               |  |
| AR  | 122. | 04/04/2000 | 6,045,511    | Ott et al.                       |  |                               |  |
| AR  | 123. | 05/09/2000 | 6,059,731    | Seward et al.                    |  |                               |  |
| AR  | 124. | 05/30/2000 | 6,068,638    | Makower                          |  |                               |  |
| AR  | 125. | 06/13/2000 | 6,074,349    | Crowley                          |  |                               |  |
| AR  | 126. | 06/27/2000 | 6,080,109    | Baker et al.                     |  |                               |  |
| AR  | 127. | 08/01/2000 | 6,096,053    | Bates                            |  |                               |  |
| AR  | 128. | 08/29/2000 | 6,112,123    | Kelleher et al.                  |  |                               |  |
| AR  | 129. | 11/7/2000  | 6,143,019    | Motamedi et al.                  |  |                               |  |
| AR  | 130. | 12/12/2000 | 6,159,225    | Makower                          |  |                               |  |
| AR  | 131. | 02/06/2001 | 6,183,444    | Glines                           |  |                               |  |
| AR  | 132. | 02/20/2001 | 6,190,353 B1 | Makower et al.                   |  |                               |  |
| AR  | 133. | 05/15/2001 | 6,231,587 B1 | Makower                          |  |                               |  |
| AR  | 134. | 07/10/2001 | 6,258,100 B1 | Alferness et al.                 |  |                               |  |
| AR  | 135. | 09/04/2001 | 6,283,951 B1 | Flaherty et al.                  |  |                               |  |
| AR  | 136. | 09/04/2001 | 6,283,983 B1 | Makower et al.                   |  |                               |  |
| AR  | 137. | 09/11/2001 | 6,287,290 B1 | Perkins et al.                   |  |                               |  |
| AR  | 138. | 09/25/2001 | 6,293,951 B1 | Alferness et al.                 |  |                               |  |
| AR  | 139. | 10/30/2001 | 6,309,375    | Glines et al.                    |  |                               |  |
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| Examiner Initials | Ref. No. | Date       | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------|---------|-------|----------|--------------------|
| AR                | 141.     | 01/26/1995 | WO 95/02361  | WIPO    |       |          |                    |
| AR                | 142.     | 12/19/1996 | WO 96/39914  | WIPO    |       |          |                    |
| AR                | 143.     | 11/05/1998 | WO 98/48706  | WIPO    |       |          |                    |
| AR                | 144.     | 12/09/1999 | WO 99/60953  | WIPO    |       |          |                    |
| AR                | 145.     | 11/16/2000 | WO 00/67825  | WIPO    |       |          |                    |

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| AR | 146. | 12/07/2000 | WO 00/72908 | WIPO  |  |  |  |  |
| AR | 147. | 03/01/2001 | WO 01/13839 | WIPO  |  |  |  |  |
| AR | 148. | 04/26/2001 | WO 01/28433 | WIPO  |  |  |  |  |
| AR | 149. | 05/10/2001 | WO 01/32088 | WIPO  |  |  |  |  |
| AR | 150. | 09/27/2001 | WO 01/70117 | WIPO  |  |  |  |  |
| AR | 151. | 01/03/2002 | WO 02/00278 | WIPO  |  |  |  |  |
| AR | 152. | 04/18/2000 | 2000-107178 | Japan |  |  |  | Derwent® Abstract                                |
|    | 153. | 04/17/2001 | 2001-104315 | Japan |  |  |  | Dialog® Abstract<br>& Translation<br>(see below) |

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| AR                   | 154.        | Paterra™ (Version 1.5) Machine Translation of JP 2000-107178, pp. 1-31   |
| AR                   | 155.        | "Emphysema", National Heart, Lung, and Blood Institute. (pp. 1-5) (general information sheets on emphysema).   |
| AR                   | 156.        | Panettieri, R.A. (1995). "Chronic Obstructive Pulmonary Disease" Chapter 6 <i>In</i> Lippincott's Pathophysiology Series: Pulmonary Pathophysiology. M.A. Grippi ed., J.B. Lippincott Company, Philadelphia, pp. 93-107. |

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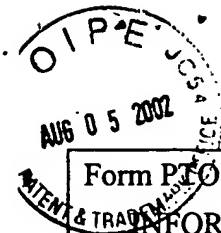
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*Adrian Boone*

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| AR                   | 1.          | 03/09/1976 | 3,942,530    | Northeved         |       |          |                               |
| AR                   | 2.          | 06/23/1987 | 4,674,498    | Stasz             |       |          |                               |
| AR                   | 3.          | 06/14/1988 | 4,750,902    | Wuchinich et al.  |       |          |                               |
| AR                   | 4.          | 02/07/1989 | 4,802,476    | Noerenberg et al. |       |          |                               |
| AR                   | 5.          | 10/03/1989 | 4,870,953    | DonMicheal et al. |       |          |                               |

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| A.R.                 | 2.          | 10/24/2000 | 6,135,997    | Laufer et al.  |       |          |                               |

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Form PTO-1449

Docket Number 435712003521

Application Number 10/079,605

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Thomas KEAST et al.

Filing Date February 21, 2002

Group Art Unit 3739

Mailing Date November 1, 2002

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name             | Class | Subclass | Filing Date If Appropriate |
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| AR                | 1.       | 07/28/1987 | US 4,682,596 | Bales et al.     |       |          |                            |
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| AR                | 5.       | 05/17/1997 | WO 97/17014  | WIPO    |       |          |                    |
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| AR                | 7.       | 04/23/1998 | WO 98/16161  | WIPO    |       |          |                    |

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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